

# PRIMEVEST's Privacy Policy and Other Important Information

## OUR PRIVACY POLICY

Your privacy is a top priority for PRIMEVEST Financial Services, Inc. and Guaranty Brokerage Services, Inc. and their affiliated insurance subsidiaries ("PRIMEVEST"). We take our commitment to protecting the confidentiality of your non-public personal information very seriously.

Please take a moment to review this Privacy Policy. Feel free to contact us with any questions you might have.

### 1. Information About You That We Collect

As part of providing services to you, we collect non-public personal information about you—much of which comes directly from you. The information we collect varies depending on the products or services you request and may include:

- Name, address, social security number, birth date, assets, income, account transaction information and employment information as well as information about your accounts with others, information from our affiliates and others about your transactions, and information from account forms and interviews with you.
- Identification and account information from your employer, benefit plan sponsor or association regarding any group product services we may provide.
- Consumer credit reporting agency information concerning your credit worthiness and credit history.
- Information provided when verifying applications or other forms from your current or past employers and from other institutions where you conduct business.

### 2. Information We May Disclose

We have implemented security standards and processes—including physical, electronic and procedural safeguards—designed to protect your information. We restrict access to your non-public personal information to those employees and agents who need to know your information to perform their responsibilities or to provide services to you.

We do not sell or disclose your non-public personal information to non-affiliated third-party marketing companies.

We may share your non-public information that we collect with our affiliated companies, including our parent company, as permitted by law. Similarly to help us provide products or services to you, we may share your non-public personal information with non-affiliated third parties (such as mutual fund companies, insurance companies or other product sponsors) and non-financial companies (such as consumer reporting agencies, software developers or consulting firms). We may also disclose your non-public information to other financial institutions (such as banks, credit unions, thrifts and trust companies) with which we have joint marketing agreements. These companies are contractually obligated to keep your information confidential and use the information only for the services required or as allowed by applicable law.

We may be required by law or regulation to disclose your non-public personal information to third parties—for example, in response to a subpoena; to prevent fraud; and to comply with the rules of industry regulators or respond to inquiries from them.

Other than what is described in this notice, we won't share information about you with third parties to market products to you, unless we tell you about it first and give you a chance to say no.

### 3. Other Disclosures We May Make

We recognize that your relationship with your financial institution is important. Should your financial institution decide to move to another broker-dealer, your financial institution may take your non-public confidential information to the new broker-dealer so that your account(s) can continue to be serviced at the new

firm, if you wish. If your registered representative is not affiliated with a financial institution and should decide to move to a new broker-dealer, your representative may take your non-public confidential information to the new broker-dealer so that your account(s) can continue to be serviced at the new firm, if you wish. If you do not want PRIMEVEST to disclose your non-public personal information with either your financial institution or representative should either move to another broker-dealer, please follow the instructions and complete the attached Privacy Notice Form.

### 4. Policy Relating to Former Customers

If you decide to close your accounts with us, or become an inactive customer, our Privacy Policy will continue to apply to you.

### 5. Changes to Our Privacy Policy

PRIMEVEST maintains a public Web site at [www.primevest.com](http://www.primevest.com). While this policy is delivered annually in writing, the policy may change from time to time. We will notify you of any changes. You can always review our current policy, and the names of the PRIMEVEST companies to which the policy applies, online.

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## OTHER IMPORTANT INFORMATION

Our public Web site contains additional information that might be of interest to you, including a brochure about investing, a statement about PRIMEVEST's compensation sources and information about our Strategic Partners program.

PRIMEVEST is a member of the Securities Investor Protection Corporation (SIPC). You can obtain information about SIPC, including a brochure, by contacting SIPC at 202.371.8300 or on their Web site at [www.sipc.org](http://www.sipc.org).

In addition, the Financial Industry Regulatory Authority (FINRA) makes available information about the registration status and/or disciplinary record of broker-dealers and their registered representatives. The information can be obtained by calling toll-free at 800.289.9999. The FINRA Web site contains other useful information for investors at [www.finra.org](http://www.finra.org).

In this notice of our privacy policy, the words "you" and "customer" are used to mean any individual who obtains or has obtained a financial product or service from PRIMEVEST that is to be used primarily for personal, family or household purposes.

### Following is a list of companies that this notice applies to, as of January 1, 2010:

- Branson Insurance Agency, Inc.
- Guaranty Brokerage Services, Inc.
- ING Advisors Network
- ING Brokers Network LLC
- ING Insurance Agency, Inc.
- ING Insurance Agency, Inc. of Texas
- PRIMEVEST Financial Services, Inc.
- PRIMEVEST Insurance Agency of Alabama, Inc.
- PRIMEVEST Insurance Agency of Nevada, Inc.
- PRIMEVEST Insurance Agency of New Mexico, Inc.
- PRIMEVEST Insurance Agency of Ohio, Inc.
- PRIMEVEST Insurance Agency of Oklahoma, Inc.
- PRIMEVEST Insurance Agency of Texas, Inc.
- PRIMEVEST Insurance Agency of Wyoming, Inc.

## PRIVACY NOTICE FORM

In those states where privacy or consumer information laws would not permit sharing of your information without your permission, we will restrict our sharing of your information to comply with state law.

To limit disclosure of your information as described in Section 3 of our Privacy Policy, please check the box below and complete all of the information requested. If your account is a joint account, the opt out will apply to all parties on the account. If you have opted out of this policy in the past, you need not opt out again.

Please mail this form to: PRIMEVEST Financial Services, Inc.  
Attention: Customer Service  
Box 283, St. Cloud, MN 56302-0283

I do not wish PRIMEVEST to disclose my information as described in Section 3.

Name: \_\_\_\_\_

Phone # (Day): \_\_\_\_\_

Address: \_\_\_\_\_

Phone # (Evening): \_\_\_\_\_

City: \_\_\_\_\_

Account Number: \_\_\_\_\_

State: \_\_\_\_\_ Zip: \_\_\_\_\_